

1 this device be used to obliterate that shunt?

2 DR. HIJAZI: Dr. Hijazi again. Among the
3 cohort of my patients I have four patients post-
4 surgical closure of their ASD. One of them post-
5 surgical closure twice with 13 mm residual ASD. We
6 like these cases because the margin is very stiff.
7 You just go there, quick sizing, and the procedure is
8 very successful. We had quite a few patients, at
9 least in my personal experience, and I'm sure my other
10 colleagues have encountered other patients.

11 DR. AZIZ: Also, if you had a patient who
12 had a pacemaker, you would still be able to put this
13 device in?

14 DR. HIJAZI: Yes. We have patients also
15 that actually have pacemaker implantation, transvenous
16 lines, that they had ASD that we go ahead and implant
17 device closure. It does not really interfere with the
18 packing lead.

19 DR. AZIZ: Thank you.

20 DR. TRACY: Thank you. I also enjoyed your
21 presentation. I thought it was very good. I just had
22 a couple of questions that I wanted to raise with you

1 and a comment. The first comment on the packet for
2 the patient would take a Ph.D., I swear, it be able to
3 read through that. I think the language is in much
4 too sophisticated and you might ask Mr. Dacey for some
5 advice on how to rearrange the language on that.

6 I believe the little angiogram that you
7 showed of the fenestrated Fontan patient had a
8 prosthetic valve in place so I would assume that
9 patient would already be on Coumadin or would be
10 transitioning back to Coumadin.

11 There is nothing in your labeling that
12 indicates the contingencies for what to do with the
13 patient who is on Coumadin. You do talk about anti-
14 platelet but I would think that some language ought to
15 be incorporated in that.

16 I also have the same question about re-ops
17 but I think that has been answered satisfactorily. I
18 think I will turn it over to Dr. Laskey.

19 DR. LASKEY: Thank you. The first thing I
20 want to do is congratulate you for using a MacIntosh
21 for your presentation. I appreciated that very much.

2 2 I came away from reading this with the

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1 following conclusions. One is that if you are a young
2 adult you'll do well with -- a young adult with an ASD
3 you'll do well with this device. If you are a kid,
4 child, you'll do well with surgery.

5 I know we beat the age issue up a bit. I do
6 think it's important to dwell as an adult
7 interventionalists I'm likely to see these people.
8 How anxious am I do get involved with the nuances of
9 "ASDs" in adults that are not really addressed in this
10 study but which may comprise a significant fraction of
11 the referral.

12 The first category there would be you have
13 a fraction 7 or so percent who had bi-directional
14 shunts. Can you tell me a little bit more about them?
15 Did they have pulmonary hypertension or were they just
16 so enormous that they were -- what set these apart
17 from the pure left to right?

18 DR. HIJAZI: This is Ziyad Hijazi. These
19 patients that had' bi-directional shunt they had a
20 smaller ASD/PFO and sustained TIA or paradoxical
21 embolism or dysrhythmia. When you do the contrast
22 echo, often times in many of these patients there was

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also right-to-left shunt.

2 DR. LASKEY: Do you think you have enough
3 data here to support not necessarily efficacy but
4 safety for this important subgroup of young adults
5 -with PFOs?

6 Earlier on you said that the device would be
7 used in people with PFOs but you didn't really address
8 that in this study. I beg to differ that a PSO is not
9 an ASD either physiologically or anatomically as you
10 defined ASDs.

11 MR. LOCK: This is Ken Lock. It would be
12 contraindicated in. the labeling that those patients
13 would not be implanted.

14 DR. LASKEY: In what patient? In a PFO --

15 MR. LOCK: A PFO.

16 DR. LASKEY: -- with a cryptogenic stroke?

17 MR. LOCK: That's correct, a PFO patient.

18 DR. LASKEY: I see. Okay.

19 DR. WHITE: But were those included in this
20 trial?

21 MR. LOCK: This is Ken Lock. There were
22 three patients that did meet that criteria for the

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1 study.

2 DR. LASKEY: Okay. I just have a few other
3 questions. I don't want to steal Janet's thunder and
4 I did have a few statistical questions.

5 DR. WITTES: You can do it.

6 DR. LASKEY: No. Just as a prelude. You do
7 report 90 percent confidence intervals for one
8 endpoint and then 95 percent intervals for another
9 endpoint. Can you tell me why the choice of the one
10 for the one and the other for the other and whether
11 that might have made a difference for your lower 8
12 percent bound?

13 DR. LARNTZ : This is Kinley Larntz. The
14 intention was to look -- when we use 95 percent bounds
15 we were looking at a lower bound. I think that's what
16 we tried to do consistently. You may find an example
17 where we didn't do that.

18 When we report 90 percent they are two-sided
19 so we are really concerned about the lower bound on
20 that. It's really a 95 percent lower bound. In fact,
21 I think we were consistent that we were using a 95
22 percent lower bound and doing that comparison.

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1 I guess the answer is I think we were
2 consistent and it wouldn't make a difference in the
3 lower bound. We actually have, at least with respect
4 to the protocol, a requirement. As was pointed out
5 earlier, we met that with 5.2 percent as opposed to 8
6 percent which was a requirement.

7 DR. LASKEY: Thank you. I was just confused
8 and I'll draw your attention to Table 8 in the
9 beginning here, page 12 of the summary of safety and
10 effectiveness data. It's expressed the one way there.
11 Then on Table 27, page 35, in the Panel Pack it's
12 expressed. It's just a little confusing. Then FYI
13 you have a lower bound of -1.052. I'm sure that's a
14 typo. You mean -0.052.

15 DR. LARNTZ: It's 0.052. I apologize. I
16 saw that typo earlier.

17 DR. LASKEY: I just wanted to be sure of
18 that.

19 DR. LARNTZ: I wondered also about the
20 dependence of efficacy of, well, the outcome on size
21 of ASD. While there appears to be no relationship
22 with primary efficacy at 12 months, there does to my

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1 eye look like a fall off in the composite endpoint at
2 12 months with size.

3 Is there any -- am I missing something here?
4 is it more difficult to treat these the larger they
5 get? Your numbers do trend down as you go from 6 mm
6 to the 38 mm for the composite endpoint but not for
7 your primary efficacy endpoint at a year.

8 MR. LOCK: This is Ken Lock. We did notice
9 a trend in the composite analysis. One of the
10 failures for the composite analysis, as we have stated
11 in the presentation, was that the patients weren't
12 allowed to revert to a success so we have more
13 failures, I guess, reported in that.

14 You are prepped in the primary efficacy that
15 in the end they will become a success. I think I'll
16 have Dr. Hijazi answer the question, "Are larger
17 defects harder to close?" I think he will be able to
18 answer that for us.

19 DR. HIJAZI: This is Ziyad Hijazi. In terms
20 of the larger defects, Dr. Laskey, there's no question
21 that they seem to pose slightly more challenge to the
22 operator than the small 10 mm straightforward ASD.

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1 However, that's why experience, I think, is important
2 when you train people about larger defects.

3 They do seem to pose a little more challenge
4 but at the end if you look at the results, and we
5 looked at that in terms of the learning curve and
6 everything. There was not really much of a difference
7 between the people who did 10 ASDs or 50 ASDs.

8 DR. LASKEY: In that vein are you likely to
9 be older if you have a larger ASD or did this not --
10 I know to the best of your ability you couldn't find
11 a relationship with age here. My brief experience
12 with young college students who come through an adult
13 congenital clinic is that these are gigantic defects
14 usually.

15 DR. HIJAZI: I agree with you. I think, you
16 know, the older you are the more like that the patient
17 will have a larger ASD. We know that this continued
18 left-to-right shunt through the years does result in
19 a larger ASD as they grow older in age. There's no
20 question when you look at our adult patients they tend
21 to have. larger ASDs. I don't know why they were
22 missed all these years.

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1 DR. LASKEY: But they are. Hence, that
2 brings us back to what we're trying not to badger you
3 with but there is an age issue here which is related
4 to the entity itself which it's difficult to make
5 comparisons with the surgical data.

6 DR. HIJAZI: However, as I mentioned in my
7 presentation, Dr. Laskey, that the mean ASD size for
8 both groups were compatible. 13.3 mm for the device
9 and 14.3 mm for the surgical group. And the same
10 percentage of patients in both groups had
11 significantly large right ventricle. Although they
12 were different in ages, but what we are treating, the
13 ASD itself, they were similar in that aspect.

14 DR. LARNTZ : If I might follow up just
15 slightly on this. This is Kinley Larntz. It is true
16 that the average age differed, but it is also true
17 that there is a wide range of ages in both groups. In
18 fact, the table you pointed out to me on page 49
19 indicates the quartile distribution of ages.

20 Just for reference, if I can, and this again
21 is partly from memory but I think my memory is pretty
22 good on this, the lower quartile is less than about

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1 3.7 or four years. In fact, there were 76 patients in
2 the device group that were less than that.

3 The second quartile is somewhere -- well,
4 it's obviously greater than whatever I just said, 3.7,
5 up to about six years. There were 102 patients in the
6 device group in that cohort.

7 Then the next quartile runs from the six up
8 to -- again, it's from memory. I apologize for not
9 looking it up and bringing my notes -- about 18 years.
10 The mean ages, of course -- this is statistics, right?
11 -- heavily influenced by some much older patients that
12 skews the mean. The medians are quite a bit smaller
13 in both groups.

14 DR. LASKEY: That was my next question. If
15 it's non-Gaussian, then it's not fair to compare the
16 ASD's sizes as means but you need to do it as medians,
17 too. Is the median ASD size in the surgical group
18 larger or smaller than the median ASD in the device
19 group?

20 DR. LARNTZ: This is Kinley Larntz. I don't
21 know the answer to that.

22 DR. LASKEY: I would bet they are not the

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1 same but it's easy to do.

2 DR. LARNTZ: It's easy to. I agree.

3 DR. LASKEY: Thank you.

4 DR. TRACY: Dr. McDaniel.

5 DR. McDANIEL: Thank you. I have a few
6 comments on some of the grammar or terminology on some
7 of these things. Maybe suggestions for the
8 contraindication to the device placement where you
9 state, "Any patient with the margins of the defect
10 less than 5 mm to the coronary sinus AV valves and
11 right upper pulmonary vein." Should that be or? I
12 know this is picayune but if you leave it as "and"
13 you're kind of raising your standard as to your
14 contraindication. It's picayune, I admit.

15 The next question on this, and this is also
16 in the same portion of this information where on page
17 4 -- don't ask me which section I'm on here -- on
18 alternative practices or procedures. You probably
19 should mention that there is an alternative of doing
20 nothing as opposed to just device closure surgery.
21 Kind of standard medical care is that you always have
22 the option to do nothing, or the patient has that

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1 option.

2 I guess now getting into the patient packet
3 of information, I'm not sure it requires a Ph.D. I
4 have read over this and had a couple of questions on
5 it. One is under the first paragraph of introduction
6 with an ASD. It says usually the hole is in the upper
7 part of the atrial septum. Most pediatric
8 cardiologists would say secundum ASDs are in the mid
9 portion of the atrial septum.

10 I think, again, that's a minor point but to
11 families reading the literature, or somebody else
12 looking at this you might think sinus stenosis and
13 that's not at all what you're talking about.

14 Then on page 10 of 23 on the patient
15 information, the second to last paragraph, "Because it
16 is receiving so much extra blood, the left side of the
17 heart does more than its share of work." It's the
18 right side of a heart in an ASD.

19 Also the sentence says, "Plus the blood is
20 poorly oxygenated." Well, actually, the blood on the
21 right side of the heart is more oxygenated than normal
22 so this is a physiologic error there.

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I guess also on the patient information you have four figures which you've used elsewhere from the transesophageal echo, the cartoons. I think that the fourth figure, which is listed here as figure 7 on page 14 of 23, but it's also earlier in your packet, looks very different.

I understand what you're showing, that once the device is released you no longer have retraction of the atrial septum so it moves. Particularly to a non-medical person looking at this picture, it looks very different so I think they might find it confusing because before you've shown part of the tricuspid valve and now you have this other -- you're not showing it in the same way.

I just think it's potentially confusing to the families what you were illustrating. Also, on the patient information as a pediatric cardiologist the patient's parents are confused by this all the time. We're now on page 15 of 23 of the patient information, second sentence, where it says something about an adhesive bandage where an incision was made to insert the catheter.

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1 Patients think incisions have like sutures
2 and those sorts of things. Generally none of these
3 procedures are done with incisions truly. I think it
4 would just be confusing to the families or to the
5 patients themselves if they're adults.

6 Pardon me. I'm going to look through all my
7 little red stickies here. Looking through the
8 extensive list of individual patient data and those
9 sorts of things, there are a fair number of misspelled
-10 drugs and stuff. It doesn't matter but I actually did
11 read it. I wanted to point that out.

12 I have two additional comments. One is to
13 echo the concerns that surgical practice has changed
14 very much in the last 10 years, particularly related
15 to ASD closure with limited incisions, very short
16 times in the OR.

17 I can't find the table in here but the
18 length of procedure which you compare the device
19 versus surgery, there was an incredible outlier in the
20 surgical data. Over 300 minutes for an ASD closure
21 for procedure time. That would be very unusual. I
22 just wanted to point out that one patient alone may

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1 skew the data a bit.

2 Then, again, point out that with -- I
3 understand about no literature being out there as far
4 as what is an acceptable fenestration leak in the
5 fenestrated Fontans but, again, I would point out that
6 going from an average of 4.7 mm hole to a 2 mm hole
7 may be successful but its' not the same as enclosure
8 of the ASD.

9 My second comment on the fenestration is
10 that in one of the tables you referred to secondary
11 fenestrations. My question is are those really baffle
12 leaks that you're closing and is that an important
13 distinction?

14 MR. LOCK: This is Ken Lock. I'll have Dr.
15 Moore address this question.

1 6 MR. MOORE: John Moore. The secondary
17 fenestrations, as far as we know, could have been
18 baffle leaks. As opposed to being intentionally
19 placed punches they probably were baffle leaks.

20 DR. McDANIEL: That's all.

21 DR. TRACY: Dr. Wittes.

22 DR. WITTES: Hi. I'm Janet Wittes. I

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1 apologize for being late. It's very hard to get back
2 from Bangor, Maine, on a Monday morning.

3 I'm a statistician at Statistics
4 Collaborative and I'm a regular member of the panel.
5 I deal with denominators a lot. You're going to have
6 to bear with me about some denominator things and the
7 age.

8 I also am very worried about the age
9 distribution. Can we start with it? Because I would
10 like to start on tab 1.0, the yellow tab, page 6,
11 where we have the raw data. That, to me, is what
12 really tells us where the people are.

13 Whatyou'llnotice -- maybe you've discussed
14 this in detail before but I'm concerned about
15 statistical adjustment when there's no people in the
16 categories that you're adjusting. If I could just
17 compare children to, say, goldfish and I can adjust
18 them and get an answer.

19 I'm nervous about comparing two different
20 distributions where there's a blank in a big part of
21 the age distribution. Yes, there's people in all the
22 quartiles but there are people in all the quartiles by

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1 definition. There's always going to be people in four
2 quartiles. There's nobody in the surgical group above
3 40. There's only one person above 30. There's only
4 five people above 20.

5 It seems to me that the correct analysis --
6 I mean, again, we recognize this isn't randomized but,
7 nonetheless, it seems to me the only analysis one can
8 make is an analysis that is limited to the 30 years
9 where you don't have a denominator -- or 20 years
10 where you don't have denominators in the surgical
11 group of effectively zero.

12 So my question is if you look at the data
13 limited to where there are people in both age groups,
14 how would that affect the comparison of your primary
15 efficacy?

16 The other question is where did the failures
17 occur?

18 DR. LARNTZ: We have five failures.

19 DR. WITTES: Yes. Where did they occur?

20 DR. LARNTZ: I don't know the exact ages of
21 those. This is Kinley Larntz, by the way. We can
22 determine that but I don't have the ages of those

1 patients in front of me.

2 DR. WITTES: I would like to know because to
3 me it's very different if they occur in the older
4 group where there's no surgical people or in the
5 younger group where you actually have some.

6 MR. LOCK: This is Ken Lock. It will take
7 me a couple minutes but I will get that for you.

8 DR. WITTES: Okay. Great.

9 Question No. 2 has to do with, again,
10 denominators and follow-up. It's actually -- it's
11 going to be a kind of multi-tiered question because it
12 relates also to the difference between the primary
13 efficacy and the composite efficacy and to the
14 question about an apparent decrease in efficacy as the
15 lesion gets bigger. It's one question but
16 intertwined.

17 It starts really with a question about --
18 the other piece that's related to is the difference
19 between retrospective identification and prospective.
20 My understanding is there's basically 440 -- well,
21 there's 400 and something or other that started and
22 there's 331 with primary efficacy data. What you say

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1 in the text, I think there are 68 who missed their 12-
2 month follow-up and eight more were lost at follow-up.

3 That's basically 25 percent of the
4 population -- the group. Assure me that there aren't
5 hidden failures in here.

6 MR. LOCK: This is Ken Lock.

7 Jodi, if you could put up bar backup slide
8 No. 7, please.

9 I apologize for the darkness of the slide.
10 We looked at these -- took these very seriously these
11 missed visits. We have extensively worked with the
12 investigators to try to find out exactly what the
13 status of these patients are.

14 Since the filing of the PMA 28 of those
15 patients have come in for a visit. The shunt status
16 is up there, 27 were closed and one had a small shunt
17 of those 28 leaving 40 patients'left to look at. Five
18 patients were seen and data was not available on those
19 patients. We are still collecting that information.

20 There was the one death that was reported in
21 the PMA that was after the one-year visit but is no
22 longer available for follow-up. Five patients are

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1 scheduled now here in the next six weeks. It really
2 leaves 29 patients left out of that 68 that we are
3 still trying to attempt to locate. However, they are
4 not responding to the letters and phone calls.

5 DR. WITTES: Okay. The worry always is that
6 people who are hard to follow are different from the
7 others. Either they are in such great shape that they
8 just don't want to be bothered', or something bad has
9 happened. Given that you're talking about very small
10 marginal differences between the two groups, I think
11 it's important to find out.

12 DR. HIJAZI: This is Ziyad Hijazi. On those
13 29 that have missed their 12-month follow-up, we went
14 back to see when was the last time they were seen, the
15 six month follow-up. Their six-month status is shown
16 there. Twenty-eight of them had complete closure.

17 Actually, this one that says moderate shunt,
18 Dr. Moore just informed us last night that he saw that
19 patient just last week and he has completely closed
20 the defect. Out of the 29 had complete closure. This
21 is based on their six-month follow-up. We are working
22 aggressively to get the follow-up on all these

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1 patients.

2 DR. WITTES: Okay. The amusing thing, of
3 course, is that by definition with the surgical group
4 you have full follow-up because it's retrospective.
5 Well, basically.

6 DR. HIJAZI: No, because only 37 patients
7 were retrospective and addressed to the surgical
8 patients who are perspective.

9 DR. WITTES: So how come you had such good
10 follow-up?

11 DR. LARNTZ: This is Kinley Larntz. I guess
12 I'll plead guilty to being a little inconsistent.
13 Okay? That's not unusual for me. I'm a statistician
14 and we should all be perfectly consistent. Here is
15 what we did. The surgery group is actually quite hard
16 to follow. That's actually true.

17 DR. WITTES: That's what I would assume.

18 DR. LARNTZ: The surgery was quite hard to
19 follow. A decision was made that we would carry
20 forward the surgery results to the 12-month follow-up.
21 Okay? In fact, when it looks like we've got great
22 fault, that's a carry-forward analysis for the

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1 surgery.

2 Once closed we assumed, and I've been
3 assured that surgeons once they think they're closed,
4 they're closed. That was an assumption. It was taken
5 as a worse-case scenario that, in fact, if the surgery
6 group had all closure. We weren't quite consistent.
7 I said that.

a If we did the same carry-forward analysis
9 that Dr. Hijazi just mentioned we could do because we
10 did have six-month data on a lot of patients, if we
11 did that we would uncover a total of -- there would be
12 five more cases of non-closure at earlier periods.

13 There were five failures at 12 months and
14 there would be five more which if we were redoing the
15 calculations with that as a carry-forward analysis,
16 which we could do and we did do, we would find that
17 the lower bound that we needed for efficacy, instead
18 of being 5.2 percent, it would go to 5.9 percent.

19 DR. WITTES: But you know I would really
20 fuss at that.

21 DR. LARNTZ : We didn't put that in the
22 report but we did the calculations just in case

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1 someone wanted to bring up the issue. It is an
2 inconsistency. We could have done a carry-forward
3 analysis and I myself would have had some
4 difficulties. I'm not sure I love carry-forward
5 analysis.

6 In fact, I know I don't love them. Given
7 that we do have information, if you make the same
8 assumption for the device group as the surgery group,
9 we could, in fact, evaluate all the patients and we
10 would wind up with 10 failures out of the whole group.
11 I'll stop at that point.

12 DR. WITTES: Then again I think one of the
13 things that this is just emphasizing is how different
14 these two groups are. They are different in many ways
15 by the very nature of the way the data are collected.

16 Okay. Well, given that and the denominators
17 and given the problem with ages and sizes, can we go
18 to yellow section 1.0, page 40. I read these also in
19 a way that sort of says if I look at the 12-month
20 composite endpoint, I'm seeing a decrease in efficacy
21 as device size and, hence, the legion size is getting
22 bigger.

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1 But if I look only at the primary efficacy,
2 I think the naive reading is it stays the same. But
3 if you look a little closer, it seems to me what it's
4 saying, and this is where I need help, is that the
5 denominators have changed so that while the 12-month
6 composite keeps everybody in the denominator.

7 The primary efficacy loosens people. For
8 example, let's go to the 13 mm. Here we've got 15 in
9 the numerator in both the 12-month composite and the
10 primary efficacy, but we have an extra person in the
11 denominator in the 12-month composite.

12 It seems to me what's happening is that the
13 12-month composite is keeping as many people as
14 possible and you're seeing that as the device gets
15 bigger, the failure rate gets bigger. The primary
16 efficacy by the way it's defined is losing people in
17 the denominator so that the numerator and the
18 denominator stay the same and you get 100 percent.

19 Now, what I'm asking is, the question I'm
20 trying to get at is the following. Which is real?
21 All right? Is the decrease in efficacy that we're
22 seeing as a function of size in the composite, is that

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1 real? Or is the constancy that we're seeing in the
2 primary efficacy, is that real? It's clear why this
3 is an important question to address.

4 DR. LARNTZ: This is Kinley Larntz. There's
5 the main aspect of the composite. First of all, the
6 composite, I think, we calculated it assuming that a
7 shunt at anytime was a failure. The agency this
8 morning in their presentation said that we had
9 misunderstood. I apologize for that. And that we
10 should only count shunts at 12 months as failures.

11 There were some procedural shunts that would
12 not be counted as failures if we redefine the
13 endpoint. Those procedural shunts turn out to be
14 related to size. That is, larger ASDs tended to have
15 procedural -- tended to have shunts right after the
16 procedure that were larger than the smaller ASDs.
17 That's part of it.

18 The second part, and the reason you've got
19 denominator changing partly is that technical
20 failures; that is, failures to place the device were
21 included as failures in the composite, technical
22 failures. The primary endpoint was as a denominator

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1 the number of devices that were placed.

2 DR. WITTES: Okay. So the reading is that
3 it's more difficult to place larger devices?

4 DR. LARNTZ: There's clearly an effect.
5 That's clearly a statistical effect that larger
6 devices, the technical success rate decreases
7 slightly. It's not a lot but it decreases slightly
8 and that is associated with size. I think the
9 physicians could probably talk about things related to
10 how close you get to the -- how much rim you need and
11 things like that for larger defects.

12 I don't deny and, in fact, I admit there's
13 a statistical effect that larger defects tend to have
14 lower rates of technical success related to, I think,
15 anatomical conditions related to larger defects.

16 DR. WHITE: Did you not count some of those
17 failures as just bringing somebody to the cath lab and
18 not yet having a large device available? Weren't they
19 also counted as failures?

20 DR. LARNTZ: Yes.

21 DR. WHITE: Is that what you said in here?

22 DR. LARNTZ: Yes.

1 DR. WHITE: And then some of those people
2 came back later and got the device when a larger one
3 was available, would they have been counted as
4 composite failures?

5 MR. LOCK: This is Ken Lock. The intent to
6 treat patients where a device was not placed or even
7 introduced to the body were not included in the
8 composite. However, like you say, a couple of
9 patients came back and had successful procedures.

10 DR. WHITE: What I'm saying is you would buy
11 us your data against larger devices. If I thought it
12 was a 30 mm device and I had one to close but I got in
13 there and I found out that the balloon actually said
14 34 so I don't have a big enough device yet, did you
15 count that as a failure or no?

16 MR. LOCK: We counted that as an intent-to-
17 treat, not as a failure.

18 DR. WHITE: Not as a failure. So then that
19 wouldn't go to your question of why the bigger ones
20 fail more often.

21 DR. WITTES: Okay. Then I think I have one
22 more question and then a comment. This actually

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1 relates to a question that was brought up earlier that
2 some of the -- I mean, there are not many failures and
3 they went on to surgery. If there were five failures,
4 there couldn't have been more than five of them,
5 right?

6 DR. LARNTZ: There were five.

a DR. WITTES: All five them --

8 DR. LARNTZ: No. I'm sorry. What happened
9 to those failures?

10 DR. WITTES: Yeah.

11 DR. LARNTZ: Oh.

12 MR. LOCK: Maybe we misunderstood that.
13 There were five failures but none of them have gone on
14 to have their defect closed.

15 DR. WITTES: Oh, then I misunderstood that.
16 I thought you said -- okay. So they did not go on to
17 surgery so none of the surgery people were people who
18 had been device failures?

19 MR. LOCK: Correct.

20 DR. WITTES: I'm sorry. I misunderstood.
21 Okay. My final thing is actually a comment and it has
22 to do with the patient brochure which I thought was

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1 really nice. With some corrections it would -- I
2 thought it was -- I really thought that what you were
3 doing was trying to convey to patients and their
4 parents the physiology and what this device was and I
5 thought it was very, very nice and I hope that you
6 will in making the changes, not eliminate the general
7 feeling about it.

8 DR. TRACY: Dr. Crittenden.

9 DR. CRITTENDEN: I just' have a couple
10 questions and a comment as well. Could someone tell
11 me how many patients need a general versus local
12 anesthesia? Did you have that broken down? Is that
13 something that is fairly common for general anesthesia
14 to be used?

15 MR. LOCK: This is Ken Lock. In the device
16 group all patients received general because of the use
17 of the TEE.

18 DR. CRITTENDEN: Okay. Dr. Hijazi, could
19 you discuss your experience with multiple device
20 deployment? That seems to be a little bit more
21 problematic. Could-you talk about that a little bit,
22 please?

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1 DR. HIJAZI: Sure. This is Ziyad Hijazi.
2 Multiple defects are present in patients with secundum
3 atrial septal defect, either a second hole or multiple
4 holes. What our, at least my, policy is and the
5 policy of my colleagues is if the holes could be
6 covered with one device, we would use one device to
7 cover everything. That is usually true in the
8 fenestrated type of atrial septum. We have quite a
9 number of these patients in this study.

10 If the hole is far away from the primary
11 hole, it may require a second device simultaneously.
12 I have actually done over 10 patients myself with two
13 devices simultaneously. We published the paper that
14 came out two years ago describing 22 patients who
15 received two devices.

16 Their procedural time, fluoroscopy time,
17 success rate and everything is similar to those
18 patients who have a single device. Yet, it is more
19 challenging but I think because of the versatility of
20 the device, it allows you to do these things with
21 great safety.

22 DR. CRITTENDEN: The next question is does

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1 anybody really know what the natural history is of
2 these residual shunts given that there may be more
3 failures of residual shunts given that there may be
4 more failures of residual shunts -- I should say not
5 failures in the larger sizes.

6 We put more patients at risk for cryptogenic
7 stroke, paradoxical emboli that we're going to create
8 a disease with this? Not create but you understand.
9 We're going to put more people at risk for this.

10 DR. HIJAZI: Ziyad Hijazi again. Very good
11 question. The natural history has been published in
12 many manuscripts after device closure that the
13 majority of these tiny residual holes that are left in
14 a patient, most of them they go spontaneous closure
15 down the road.

16 Now, I do not know of manuscripts or reports
17 that came out of patients who have small residual
18 shunt. A few years down the road some of them have
19 the TIA or something like that but I think that is an
20 important question.

21 DR. CRITTENDEN: Finally, a comment. I was
22 here in 1997 as well and remember it was quite a

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1 contentious panel meeting vis-a-vis what kind of
2 comparisons could be made between device closure and
3 surgical closure.

4 I guess this part of respect represents my
5 bias as a surgeon that I think we could have done
6 better. I'm really disappointed that we didn't have
7 a better study that could have been done in the past
8 four years. Mentally I understand that more patients
9 are going to opt for having a device versus surgery.

10 I mean, that's common sensical but I think
11 we could have worked with surgeons in a more formal
12 way. There's really an unfair comparison and we're
13 basing a lot of conclusions on the comparison I think
14 is highly flawed. That's all I have.

15 DR. TRACY: Are there any other questions
16 going around again through the panel members? Dr.
17 Williams.

18 DR. WILLIAMS: Just one follow-up question.
19 I think on page 55 there was a difference in the
20 secondary effectiveness variable among the sites.

21 I would like to just ask Dr. Hijazi if he
22 has any wisdom about the learning curve for an

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1 institution or the operator or the secondary people
2 such as the echocardiography that would advise us in
3 terms of conditions once this device is available more
4 widely that should be included as a condition.

5 DR. HIJAZI: Yes. This is Ziyad Hijazi. I
6 will answer part of the question and leave the rest to
7 Mr. Ken Lock about the training guidelines that we
8 have.

9 There is no question that anything in you
10 that you do has a learning curve. There's no question
11 that anything new that you do has a learning curve.
12 I do believe that with such an ideal device like the
13 Amplatzer Septal Occluder with the ability to
14 recapture, reposition the device gives the individual
15 the ability to perform the procedure much better.

16 Obviously the individual has to be a very
17 good interventional cardiologist but the
18 interventional cardiologist is not the only person
19 involved, although the person is doing the procedure.

20 Echocardiology is extremely important
21 guiding the entire procedure so collaboration between
22 echocardiography and interventional cardiology will

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1 result in the least minimal amount of learning curve
2 for that institution.

3 The other good news is that now most cardiac
4 centers across the country are involved in one or more
5 of the other devices that are undergoing clinical
6 investigation. There's no question that the Amplatzer
7 Septal Occluder has the least difficulty for a person
8 to learn how to implant the device.

9 Let me put it like that. I think we will do
10 very well with the training of the new physicians who
11 are using current devices once the device gets
12 approved. I'll leave the rest for Mr. Lock to talk
13 about the other guidelines.

14 MR. LOCK: First of all, I wanted to speak
15 regarding the one site that had a lower success rate.
16 Again, keep in mind that the composite success kept
17 those shunts and that did not reverse to success.
18 That particular center had six procedure failures
19 meaning there was a significant shunt post-procedure.

20 Then eventually those patients all were
21 successes at 24 hours or six months. That's the first
22 part to the question. What I have up on the screen

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1 right now is a training program that we would like to
2 implement at AGA.

3 This would be really three parts to it. It
4 would be the tiered release of the device. Once we
5 would have investigator approval to go ahead and
6 implant, the Tier I would be our current investigators
7 who have experience with the Amplatzer technology.

8 Then the second tier would be interventional
9 cardiologists with experience with other transcatheter
10 closure devices. The third tier would be just
11 interventional cardiologists that we would proctor and
12 train.

13 We would also require hospital approval and
14 the hospital will be approved if the following are
15 met. That they have surgical backup and that they
16 have access to transesophageal echocardiography.

17 And the last slide here talks about the
18 proctoring. We will assign proctors who are
19 experienced clinical investigators and once a site is
20 identified and approved as a site, the proctor will
21 assist in the first three to five cases. We would
22 like the proctor to after three cases assess how the

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1 new investigator is doing and then assess if there's
2 more need for the proctoring.

3 Also the proctors will act as regional
4 technical support so if there are any questions, they
5 can be called upon to assist as needed.

6 DR. TRACY: Can I just ask what you mean by
7 surgical backup? Does that mean an OR open on standby
8 or what precisely are you asking for?

9 DR. HIJAZI: Ziyad Hijazi. Surgical backup
10 would mean the presence of a surgeon in the hospital
11 without the need for an open OR at the time. Even the
12 five patients that we had embolization in them,
13 patients were totally asymptomatic from hemodynamic
14 point of view.

15 As a matter of fact, one of them had
16 embolization over night and was ready to leave the
17 hospital. Of course, we do echocardiogram and chest
18 x-ray prior to their departure and we found the device
19 embolized. We do not require like angioplasty or
20 stent. Even now with angioplasty and stent they
21 change it. Just in the house.

22 DR. TRACY: Dr. White.

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1 DR. WHITE: I don't want to drag this out
2 but I wanted to ask a couple of specific things. You
3 have not in your exclusions eliminated patients with
4 severe contrast 'allergies. Is that your intent? Do
5 you not want to warn the operator that if a patient
6 has a known severe contrast allergy, that they should
7 not undergo this procedure?

8 MR. LOCK: This is Ken Lock. I think that
9 we would include that as a contraindication.

10 DR. WHITE: And regarding your training of
11 physicians on the section 3A on page 2, I guess --

12 DR. HIJAZI: Dr. White, your point about the
13 severe contrast allergy, I personally as a physician
14 would implant the device in a patient with allergy
15 doing it without angiography with TEE and fluoroscopy
16 without injecting dye so I don't think that it should
17 be added as a contraindication for device
18 implantation.

19 DR. WHITE: To contrast. Right.

20 DR. HIJAZI: Yes.

21 DR. WHITE: I think you need to handle that
22 just on the labeling issues for the physician who

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1 needs to understand that you at least don't think
2 that's a great idea to do that so that he pays
3 attention to that as a plus or minus.

4 Under 4-1 you talk about the septal occluder
5 system should be only used by those physicians trained
6 in transcatheter defect techniques. My question is do
7 you not want to say trained in the Amplatzer device or
8 are you suggesting that if someone has skilled with
9 any device? Is that what you mean? You mean
10 generically or specifically?

11 MR. LOCK: I think generically. As I said,
12 in the Tier II those will be our second round of
13 investigators that would be trained in the Amplatzer
14 technology. Our feelings on that were that if they
15 have experience with septal occluders, in general they
16 would understand the concept.

17 DR. WHITE: So the difference between the
18 devices is not enough? I mean, they are pretty close
19 to being there with a smaller amount of education than
20 somebody who has not done this at all?

21 MR. LOCK: That's correct.

22 DR. WHITE: Under 4.2 you mentioned on your

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1 slide about backup surgery. I think that I agree with
2 your caveat but I think it ought to also be spelled
3 out that you believe that onsite surgical backup ought
4 to be available. I don't think you need to have an
5 open OR but you don't want to have this procedure
6 being done in places that do not have surgery onsite.
7 I think that's an issue.

8 Under B under Patient Labeling under
9 Observed Risks you have listed the marker band
10 embolization which is no longer an issue. You should
11 probably delete that since marker bands are on the
12 device. Under Potential Complications under Patient
13 Labeling should you not list the left ventricular
14 heart failure, the decompensation that potentially.
15 could occur?

16 I mean, is it possible that someone could
17 have left ventricular heart failure with closure of
18 this device? Is that a potential complication? You
19 may not have intended it or may not think it's likely
20 but it is a possibility.

21 DR. HIJAZI: Yes. This Ziyad Hijazi. This
22 is a potential complication and usually in older

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1 patients with a stiff left ventricle if you close it.

2 DR. WHITE: So that ought to be listed for
3 the patient.

4 Then finally under Appendix B -- I'm sorry,
5 Appendix A at the very end you've listed that your
6 device is tighter and more secure. It says, "The
7 Amplatzer Septal Occluder is relatively new. How do
8 we know it is going to be reliable?" You say its
9 design allows a tighter more secure seal than provided
10 by other devices.

11 Do you have any evidence for that
12 comparison? If a patient reads this, are they going
13 to pick your device? You want a patient to pick your
14 device over a competitor's device based upon this?

15 DR. HIJAZI: Ziyad Hijazi. We'll take this
16 out, this comparison, from the note.

17 DR. TRACY: Dr. Laskey.

18 DR. LASKEY: I just have a quick question
19 for Dr. Wood. Is there ever a circumstance where you
20 need to close the fenestrations surgically? In other
21 words, mandatory?

22 MR. MOORE: This is John Moore.

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1 DR. LASKEY: Sorry.

2 MR. MOORE: The protocol certainly did not
3 require any specific setting to direct the patient to
4 surgery as opposed to device closure. If the patient
5 was going to require surgery anyway for prosthetic
6 valve replacement or whatever, then transcatheter
7 device closure is unnecessary and would not be
8 suggested.

9 DR. McDANIEL: McDaniel. I'll ask another
10 question along those lines. If the secondary
11 fenestration or baffle leak is very close to the
12 pulmonary artery and anastomosis, do you have any data
13 suggesting the Amplatzer can be put in that position
14 or is that someone you would send to surgery?

15 MR. MOORE: Well, there is a suggested rim
16 requirement of 5 mm in general as has been alluded to
17 by others. These are small devices and a 5 mm rim
18 essentially is plenty.

19 DR. TRACY : Do any of the other panel
20 members have any questions?

21 Dr. Wittes.

22 DR. WITTES: Yeah. I wonder whether you

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1 have the data yet.

2 DR. LARNTZ: I have not been able to get
3 that. I will get that shortly. It will just take me
4 a minute.

5 DR. TRACY: Two more in that direction.

6 DR. ZAHKA: Do you have any sense what the
7 recommended age or weight will be for this procedure
8 assuming that standard practice. for atrial septal
9 defect surgery is X? What will this be?

10 DR. HIJAZI: This is Ziyad Hijazi again. I
11 think we will adopt the same criteria and indications
12 similar to the open heart surgery. In every textbook
13 of cardiac surgery when you read in papers they say
14 that usually it is done before the child goes to
15 school.

16 So if you have a one-year-old child with
17 ASD, personally I would not send that patient to
18 surgery even if devices are not available at all
19 because that's not the age when we send patients to
20 the OR.

21 We usually send them three to five years of
22 age. I would do the same thing for devices. My

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1 recommendation for children is to undergo the closure
2 just prior to them going to kindergarten.

3 DR. ZAHKA: Then I have a question about the
4 geometry of the defect. Let's say that a defect is
5 very oval in shape.

6 DR. HIJAZI: Yes.

7 DR. ZAHKA: The surgeons obviously change
8 the geometry of the defect dramatically when they take
9 a round defect and make it a slit and close it off.
10 You are, in fact, doing the opposite if you took an
11 oval or slit defect, you make it round and you stent
12 it open. Do you have any sense that there is a
13 subgroup of patients that have more arrhythmias or
14 more this or more that as a result of stenting a
15 defect open that is not circular?

16 DR. HIJAZI: That is a good question. Ziyad
17 Hijazi. We do not have data on patients who have oval
18 defects whether they had more complications or not.
19 The complication rate of arrhythmias in general is low
20 in this cohort of patients. I think follow-up of
21 these patients we'll find out whether changing
22 geometry of the atrial septum will cause a problem or

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1 not.

2 My gut feeling tells me that most likely we
3 will not encounter the problems,--that these patients
4 are encountering after open-heart surgery on the long
5 run with atrial ar-rhymias.

6 DR. ZAHKA: My last question is do you have
7 a sense of what the timeline will be between Tier I,
8 Tier II, and Tier III rollout for training of
9 physicians?

10 MR. LOCK: This is Ken Lock. If it's
11 approved today and as soon as the device is available,
12 whatever that time frame, we haven't really looked at
13 what the time frame is we will need to roll it out to
14 those sites.

15 We will be very careful to make sure that we
16 would take our time to get out to the Tier III. So
17 really the Tier II will be the first ones that will be
18 trained in on it over the next few months and then we
19 will be cautious to move forward to the Tier III.

20 DR. TRACY: Dr. Hopkins.

21 DR. HOPKINS: I'm glad the training -- I
22 didn't really focus on that the first time around so

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1 I'm glad it's come up because I think that's very
2 important in these kinds of devices.

3 Is it your intent -- and given what Ziyad
4 was talking about in terms of the experience with the
5 larger defects and, with all due respect, not
6 everybody is as talented in the cath lab as Dr.
7 Hijazi. Is it your intent for the Tier III
8 interventionalists that they would also be approved to
9 attack defects larger 'than 25 mm?

10 Would there be any commendation at least by
11 eyeball as the other panelists and as you yourself had
12 indicated pose a greater level of difficulty which it
13 appears the break point is around 25 mm that maybe
14 those should be centralized and not fully opened to
15 the total market? What is your intent?

16 MR. LOCK: I guess in those particularly
17 cases where there are large defects have the size
18 available because when you go into the lab you don't
19 really know until you stretch size the device -- the
20 defect. I'm sorry. We would be willing in those
21 cases where we think that might be a larger defect
22 have proctoring available and technical services

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1 available.

2 DR. HOPKINS: But your intent is in the Tier
3 III that they would have equal access to the larger
4 sizes?

5 MR. LOCK: Yes.

6 DR. HOPKINS: Just to comment again, I think
7 I understand exactly what you said about the
8 recommendation for closure. It does go back to the
9 study design. I think all of us feel that ASD should
10 be closed between the age of 3 and 6 and we not even
11 deal with these older patients ever again. The study
12 that is supporting the device is one done in older
13 patients, not effectively to the kinds of numbers in
14 the total device in the younger patient. That's just
15 a comment, not a question.

16 The one final comment I would ask you to
17 look at is in the patient literature that you give the
18 patient. I would also agree that I thought it was a
19 very good patient manual. Right above where it says,
20 "Alternatives to device and treatment," you talk about
21 the benefits of the procedure. This is on page 20 of
22 23 where you say many patients have the procedure done

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1 in the morning and go home at the end of the day or
2 the following morning.

3 I would ask that you look seriously at the
4 next sentence which says, "You won't have to endure
5 the lengthy recovery period that would be required
6 after surgery." I think that is a little loaded.
7 Most of our patients go home the next day as well. It
8 just seems a little strong in its language. I don't
9 think you lose much by deleting it.

10 DR. TRACY: Any other questions from the
11 panel?

12 Mr. Dacey.

13 MR. DACEY: I would like to address the
14 patient information. All too often we make some
15 assumptions about our patient populations. The rule
16 of thumb has been for readability 5th grade level.
17 That's been a national criteria. As I look through
18 this, a few things occurred to me.

19 Clearly there are level and informed consent
20 requirements in preparing patient information/
21 education literature. There is also this daunting
22 task for physicians that you have this full spectrum.

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1 It's wonderful to say, "YOU should talk to your
2 physician about any questions or concerns you have."

3 That's correct but the community I live in
4 the parent of a child with an ASD, one parent might be
5 an astrophysicist whose been on the website and
6 gathered volumes of information and come into the
7 doctor's office with that and book in three hours of
8 time to talk -about it.

9 Of course, at the other end is the family
10 who may not even speak English and they've got this
11 information put in front of them and they can't even
12 read it. Then I get into it and I look and I see
13 medical jargon and I see illustrations like Figure 1,
14 normal heart blood flow.

15 Then the next one is generally the same
16 information but it's really a different illustration.
17 It's those little tricks that confuse people. Then
18 when you get into language, I looked at this and it
19 says belly and legs. Now, that's 5th grade level.
20 The higher level, of course, would be abdomen. It
21 cries out to be simplified.

22 As I further went on -- well, I'll skip that

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1 one. The illustrations, the vein access sites are
2 generally good but I would want to make them larger
3 because there's an assumption that people can ready
4 small things. That's not true.

5 Then you get into this jargonese, "The
6 occluder is compressed into the catheter for
7 delivery." Where are you going to deliver it to?
8 This is a language issue.

9 Then we get over to Figures 4, 5, 6, 7. The
10 previous illustrations are line illustration and all
11 of a sudden we're looking at diagrams made off of an
12 echo and there's no relationship back and forth. This
13 will further confuse people.

14 There is really some very good patient
15 communication expertise out there. If I can digress
16 just for a moment, I've put a lot of time in the
17 patient education area and communication with some ASD
18 patients and families.

19 It turns out that the most remarkable
20 teaching tool has been the model of a heart that the
21 physician can use to point out exactly what's going
22 on, where, and what they are going to do which is

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1 complimented by the printed material.

2 I understand that deals with the practice
3 and not the efficacy and safety but I'm always
4 concerned that patients get the information they need
5 to make the best decision possible which is what
6 everybody here wants also. I guess that summarizes my
7 comments. Yeah, that's it.

8 DR. TRACY: Thank you.

9 Mr. Morton, questions'or comments?

10 The sponsor looks like they have one more
11 comment.

12 DR. LARNTZ : I just wanted to answer the
13 question about age. The ages of the five failures,
14 3.6, 4.1, 5.2, 10.3. 15.9.

15 DR. WITTES: Can I do some calculations?

16 DR. LARNTZ: Sure.

17 DR. TRACY: Dr. McDaniel.

18 DR. MCDANIEL: While she makes her
19 calculations, one final comment on patient education
20 material. You make the suggestion or statement that
21 animal studies and clinical studies where thousands of
22 patients have proven this reliability. Maybe there

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1 are thousands but, you know, it may be an
2 overstatement. I don't know if it needs to be in
3 there.

4 DR. TRACY: Dr. Crittenden.

5 DR. CRITTENDEN: I have a question that I'll
6 ask while we're waiting for the electronic abacus to
7 work here.

8 Dr. Hijazi, how many of the 4 mm and 38 mm
9 devices have you deployed?. Do you recall off hand?

10 DR. HIJAZI: I do not recall the exact
11 number but I can tell you I have put a large number of
12 the larger devices including the 40 mm, which is not
13 being sought for approval here, obviously outside the
14 United States.

15 Fifty percent of my patients in Chicago are
16 people with very large ASDs about the size of 20 mm.
17 About 45 patients with devices 28 mm to 40 mm. With
18 the smaller most of my Fontan patients are the smaller
19 devices, 4 or 5 mm. I'm sure Dr. Moore the same thing
20 with his Fontan patients.

21 DR. CRITTENDEN: So for indications for ASD
22 you thionk you need all those sizes?

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1 DR. HIJAZI: Yes. Definitely.

2 DR. CRITTENDEN: As opposed to Fontan. IN
3 the Fontan obviously you need a smaller one.

4 DR. HIJAZI: The smaller sizes for the
5 Fontan and the small ASDs. Adult patients with their
6 large ASDs you need the large devices to close their
7 defects.

8 DR. CRITTENDEN: Thank you.

9 DR. TRACY: Dr. Wittes, have you finished
10 your calculations?

11 DR. WITTES: Yes. I can't do any
12 calculations but I'll tell you what my concern is.
13 Now, if the criterion for success is this prespecified
14 8 percent, what this is saying is that all the
15 failures are occurring in the young age groups where
16 you actually do have surgical controls.

17 What I worry about is if you look at the
18 data on page 6, it seems to me what it's saying is you
19 have a comparison between kids ~~less~~ than 20 in the two
20 groups. You don't have a real comparison over 20.

21 You say that the five failures are all
22 occurring in that less than 20 group. You are

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1 inflating that denominator in the device group by
2 those people where there were no surgeries.

3 If you were to have made the direct
4 comparison in the age group where you actually had
5 data, I don't you'd hit your criterion. I was trying
6 to calculate but I can't and I don't want to give the
7 wrong number. The point is it seems to me this bears
8 on the message that's coming out is you're almost --
9 you're equivalent.

10 You're not inferior if you use the devise.
11 Yet, it seems to me that's an artifact, at least in
12 part, of a very peculiar age distribution where
13 there's no older people in the surgery group. I don't
14 know if I made myself clear.

15 DR. TRACY: Can I just -- no. I'm confused
16 because isn't it possible in some way just to lop off
17 the older patients and just do a comparison between
18 the Amplatzer's versus the surgicals up to the age at
19 which --

20 DR. WITTES: That's what I was trying to do
21 but my machine didn't want to give me an exact answer.

22 DR. TRACY: Do you have any information that

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1 would answer that question?

2 DR. LARNTZ : My information is that it's
3 going to be -- with respect to that group if you chose
4 under 20, which I've not done the calculation, but I'm
5 willing to speculate and my speculation is that it
6 will be close to the boundary.

7 I don't think it's going to be going much
8 below 8 percent because it's at 5.2 and, by my
9 calculation, about 75 percent of the patients in the
10 device group are under age 20 if I just did a rough
11 calculation.

12 By that we will decrease the denominator by
13 the same number of events. I think it will go down
14 obviously. It will get very close to the 80 percent
15 but I cannot give you an exact number right now. We
16 could do that at some time but it's going to be very
17 close.

18 DR. TRACY: Dr. Williams.

19 DR. WILLIAMS: I think if we were going to
20 through the process of recalculating things, we ought
21 to make sure that we retain the most important issues
22 for the patient and remember that there was very

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1 conservative accounting for in terms of both -- in
2 terms of efficacy.

3 I would say that if we were going to truly
4 compare the same age ranges, I wouldn't be concerned
5 about those who had shunts that closed before 12
6 months because I don't think that's important.

7 Just remember that there was a lot of very
8 conservative calculation in favor of the surgical arm
9 when this was done. If we evened that all out, I also
10 have the impression not being a statistician that it
11 would come out at least very close, if not still on
12 the favorable side.

13 DR. TRACY: Okay. Any additional questions?
14 If not, I'll ask the sponsor to step back from the
15 table and we will review the questions posed to us
16 from the FDA. Can we have those questions from the
17 FDA put up?

18 The first question is, "Based on the
19 information provided, please discuss the description
20 -- wait. I'm on the wrong thing. Hold on. I'm
21 sorry. Let's try that.

22 "Please discuss whether individual

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1 endpoints, composite endpoints, or a combination of
2 both should be. used to evaluate the safety and
3 effectiveness of the Amplatzer AS0 device." I jumped
4 ahead in anxiety to get through this to this
5 afternoon's questions. That's the real question up
6 there.

7 Any comments from the panel on this? Please
8 discuss whether individual endpoints, composite
9 endpoints, or a combination of both should be used to
10 evaluate safety and effectiveness.

11 Is there something -- maybe Dr. Wittes. You
12 looked like you're'posed to give us an answer here.

13 DR. LASKEY: Isn't this the domain of study
14 design? I mean, this is a little late to be
15 discussing this, choice of endpoints.

16 MR. DILLARD: Jim Dillard. We're in a
17 little bit of a quandary here, I guess, because it is
18 important obviously for study design to sort of
19 prethink about what it is that we're going to use as
20 the analysis tools in order to sort of define the
21 hypotheses going in.

22 In this case we had some predetermined

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1 individual endpoints. We also had some thinking and
2 some input from clinicians saying that perhaps a
3 composite might be an appropriate way to look at this
4 data and interpret it also.

5 I think at this point what we are really
6 trying to get at is since we have both, it's important
7 to understand what perhaps is going to be the best way
8 -- if you recommend this be approved, the best way to
9 actually portray the data in the labeling, for
10 example, and how to best get this information to
11 patients. I think it's important for analysis and
12 it's also important for later on how we portray the
13 data.

14 DR. TRACY : My personal observation on
15 differentiating between success of Point A versus
16 Point B is that it's led to confusion here and that
17 the ultimate question is did it work or did it not
18 work? Was the patient better off or not better off
19 having had the procedure done in either way?

20 I think to that and the final endpoint
21 whether it's a six-month or 12-month endpoint would
22 probably be adequate but I think you have to know

1 early on whether acutely the procedure has been
2 considered successful.

3 From an analysis standpoint I think it makes
4 it a little bit difficult to deal with these various
5 endpoints. From a procedural standpoint and
6 understanding what's happening to the patient, those
7 points have to be analyzed as you're going along. I
8 think it's the difference between a procedural need to
9 know versus how do you deal with the data. I think
10 deal with the data has'a final outcome is probably
11 reasonable.

12 Dr. Williams.

13 DR. WILLIAMS: Could I -- my point in saying
14 that the 12-month endpoint is more important is to
15 remember that for the usual indications these are
16 asymptomatic patients. The procedure is done to
17 prevent long-term complications.

18 The likelihood that a complication will
19 result from a shunt that remains present at six months
20 is negligible with the exception of perhaps
21 cryptogenic stroke or right-to-left embolus.

22 But for the indications of closure for left-

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1 to-right shunt, I think it's meaningless if there is
2 even a moderate shunt at six months that is closed at
3 12 months. That's why I think the longer term is the
4 only important issue.

5 DR. TRACY: Does that get to the issues the
6 FDA was raising?

7 MR. DILLARD: Almost. Maybe I could ask for
8 one clarification for what Dr. Williams just said. Do
9 you think 12 months and presence or absence of shunt
10 would be the most important way to look at, or do you
11 think the fact major complications embolization,
12 technical failure, etc., also is important to include
13 in that analysis?

14 DR. WILLIAMS: In my mind I think it's
15 important to note both separately because of the issue
16 that many parents or patients would happily take the
17 risk of failure as long as there are no complications
18 and so to keep those two issues separate.

19 I think, in fact, there are issues relative
20 to the age group problem that are separate for
21 efficacy and for safety. That is, I really do believe
22 that the older population is at higher risk for

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1 complications despite the pericardial effusion issue
2 because I think they are also at risk for post-
3 pericardiotomy syndrome.

4 Whereas it may be true that they are given
5 the anatomic variation in the younger group, they are
6 a little bit more at risk for residual shunt or the
7 decision not to deploy the device once they get into
8 the cath lab because of anatomy that was not expected.

9 DR. WHITE: Could I just say one thing? I
10 think the best number for me is not given here and
11 that is that the 12-month composite success number is
12 a very good number with the caveat that you allow the
13 successes to occur.

14 What I'm saying is that they were originally
15 asked to count an immediate failure or shunt as a
16 failure. At 12 months if it closed they weren't
17 allowed to add that as a success. I think if I had to
18 give a family a single number, it would be the
19 composite success with the ability to convert an
20 initial failure to a late success.

21 DR. TRACY: Which I think, parenthetically
22 speaking, gets to the very critical nature of the

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1 patient education that they understand that what you
2 see today is not necessarily what you see in a year.

3 lb. The sponsor is seeking approval for a
4 device sizes from 4 mm to 38 mm. Approximately 89
5 percent of devices implanted in the pivotal ASD study
6 were between 10 mm and 28 mm. Is there sufficient
7 data to support approval of the entire range of
8 devices from 4 to 48 mm or a specific range of device
9 sizes?

10 I think my read on the comments that have
11 been made, and perhaps Dr. Crittenden will correct me,
12 is that there have-been use of the various sizes of
13 devices from minimum to maximum. Perhaps not in equal
14 numbers but that restricting the size ranges to those
15 where they were more used would unintentionally or
16 adversely restrict to the devices available to a
17 variety of patient populations.

18 DR. CRITTENDEN: I agree. I think there's
19 enough data from what we've heard from the sponsor's
20 presentation that we probably ought to approve all the
21 sizes that they've asked for in the application.

22 DR. WHITE: We might consider later on a

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1 post-marketing follow-up of those sizes that were less
2 used.

3 DR. TRACY: Dr. Wittes is grimacing.

4 DR. WITTES: I'm bothered by this. Maybe I
5 need some help here. This 12-month composite in this
6 gradient that we're seeing with size, you really think
7 that once the data are analyzed correctly with the
8 failures that became successes, once those are back in
9 those numbers will look better?

10 Because the way it looks to me that is not
11 convincing to me when I look at these data that they
12 shouldn't have surgery if you're going to have to have
13 one of these big devices. That's really what I'm
14 asking.

15 DR. TRACY: Can you point us to the page
16 that you're on?

17 DR. WITTES: Yes. It's page 40, the yellow
18 1.0. I recognize that these are not going to be
19 really the final numbers and that's part of why it's
20 hard to interpret.

21 DR. WILLIAMS: I think that's part of the
22 territory of having a large device. You have more

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1 opportunity for having the media shunt, residual
2 shunt. That is the point of endothelialization. If
3 that really occurs as those margins are secured and
4 also the central part of that device is closed off.
5 Those shunts go away.

6 That's why I think it is so important to
7 only include the late data because those large devices
8 have to leak more as best as I can understand. I
9 think it's part of the territory of closing the large
10 defects. The important issue is ultimately does it
11 close.

12 DR. WHITE: But you're saying you think
13 those numbers will get better?

14 DR. WILLIAMS: Well, they did.

15 DR. WITTES: How do we know that they did?

16 DR. WHITE: It think they told us that -- I
17 don't want to speak for the company. I thought they
18 said that at six months they had them all closed.

19 Do you want to reiterate what you said about
20 the six-month follow-up? You had six-month follow-up
21 on almost all your patients and how many patients at
22 six months did not have a closed shunt. It was a very

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1 few number.

2 DR. WITTES: Well, do you have table 32 with
3 the correct numbers? Maybe that would just do it.
4 Table 32 corrected for the real status at 12 months.

5 DR. WHITE: You had a slide up of your
6 failures. Can you put that overhead back up?

7 MR. LOCK: Jodi, can you grab --

8 DR. WHITE: You're not going to be able to
9 answer that question because they counted those
10 initial composite successes as failures and weren't
11 allowed to convert them. I don't think they know how
12 many to shift.

13 DR. TRACY: Yes.

14 DR. LARNTZ: This is Kinley Larntz. We do
15 know that if you do eliminate the procedural shunts
16 the composite success rate goes up to 91.7 percent
17 from 85. About 6 percent of those cases were
18 procedural shunts and those went away.

19 Now, the main aspect -- I apologize if I'm
20 going over territory I've covered before. The main
21 reason the composite does not have the size effect is
22 that there are technical failures in that group so

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1 there is an inability to -- on occasion the device
2 doesn't get placed because of rim or something like
3 that.

4 That is the primary thing that is going on
5 with respect to age -- excuse me -- with respect to
6 size. There does appear to be -- it is more difficult
7 to make sure that you've got a device placed properly.
8 Some of those were pulled out and not included.
9 Technical failure means the device didn't get placed.
10 There is a higher rate of that and that's where the
11 composite -- that's the association of composite with
12 size.

13 DR. WILLIAMS: Well, I'm just a country
14 cardiologist but it seems like if there's more rim,
15 there's more opportunity for there to be a leak
16 between the rim and the atrial septum. I guess my
17 question would be of those devices that were placed
18 that continued to have a shunt, at 12 months what were
19 those sizes?

20 MR. LOCK: Jodi, could you put up slide No.
21 4, please, on the overhead?

22 This is Ken Lock. Again, I apologize for

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1 the darkness of the slide. You can look over at the
2 primary efficacy column, the third from the right.
3 You can see that the five failures at one year, there
4 was one size 15, one at 16, one at 19, one at 20, and
5 one at 24. Those were the failures.

6 DR. TRACY: Okay. Thank you.

7 Dr. Hopkins.

8 DR. HOPKINS: Yes. In discussion with the
9 other panel members, I'm actually in agreement with
10 both panel members. I think Dr. Williams is exactly
11 right, that the outcome that exist at 12 months or
12 even beyond is really the important outcome. For that
13 reason those so-called early trivial failures really
14 are not failures and shouldn't be so counted.

15 On the other hand, when you're talking about
16 recommending to adult patients with a large ASD that
17 closure of the ASD should be accomplished to prevent
18 or reduce your risk of bacterial endocarditis and
19 reduce your risk of paradoxical emboli, the absolute
20 closure rate at some point in time does become
21 important. I think you can look at it either way but
22 it's really that sort of 12-month and beyond data.

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1 I'm sort of presaging the last question
2 because I think there are questions that have
3 bedeviled this panel now at two complete different
4 sessions having to do with size and also with age that
5 really still are not completely answered. I share
6 your concern about the younger age group in terms of
7 the comparative data.

8 I also agree with you in terms of the olders
9 that a larger defect is clearly going to have larger
10 residual defects and it's really the issue of whether
11 they are actually completely closed at 12 months.
12 Both the composite and the specific are important and
13 over time.

14 DR. TRACY: Okay. So I think that gives us
15 lots of comments pertaining to both 1a and 1b. We'll
16 move on to question 1c.

17 Based on the data provided on ASD patients
18 and the suggested analysis of the data from question
19 1a, please discuss whether these data provide
20 reasonable assurance of safety and effectiveness.

21 I will look around the table and see if
22 anybody is wagging their head no. I think that there

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1 are data to support the safety and effectiveness of
2 this device and that it's just a little bit difficult
3 analyzing what time should you ask the effectiveness
4 question.

5 DR. LASKEY: Well, with some qualification
6 because at the extremes here we don't have a lot of
7 data points. I mean, there's a lot clustered in the
8 middle but this is just what we've just been talking
9 about for the last hour really, the extremes of size
10 and age. There's not a lot of information so I don't
11 necessarily agree with that, particularly with the
12 efficacy.

13 DR. HOPKINS: I would separate the two. I
14 think there is adequate data for safety but I'm still
15 concerned. I would like to see the actual analysis in
16 terms of efficacy for the lower age group and for the
17 larger size group. I haven't seen that analysis here.

18 DR. TRACY: I think those would be -- the
19 one analysis in terms of the younger age group should
20 be doable from the data that is already available. In
21 terms of the effectiveness, if we are saying that
22 effectiveness at 12 months is more important, then it

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1 seems like. something that would have to be followed
2 over time so with those additional comments.

3 Question 2. To support the fenestrated
4 Fontan indication, the sponsor has submitted data from
5 a single-arm registry with 48 patients. Based on the
6 data provided on fenestrated Fontan patients and the
7 suggested analysis of the data from Question 1a,
8 please discuss whether these data provide reasonable
9 assurance of safety and effectiveness.

10 DR. MCDANIEL: I was going to say there is
11 safety data there. Efficacy again depends on how you
12 define applications and closing of Fontan. They got
13 the shunts down to 2 mm or less.

14 DR. HOPKINS: I think this is one where the
15 comparison with surgery does become very critical
16 because the risks of surgery, the difficulty of
17 surgery is not at all the same question as the routine
18 ASD. That's why I think this is a much simpler
19 question.

20 DR. WILLIAMS: I also think there's so many
21 confounding variables in this population. It would be
22 impossible to ever decide that perfectly.

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1 DR. TRACY: Yes, Mr. Dillard.

2 MR. DILLARD: Yes. Jim Dillard. Maybe just
3 one clarifying question. This is an issue we struggle
4 with considerably and I think we've been beat up as
5 the agency on both sides fairly well so I would love
6 to get any comments from this panel.

7 If we have a completed study, a study that
8 hopefully gathers patients over the range that would
9 be appropriate clinically to take a look at a device,
10 and yet we know we will never generally have enough
11 patients no matter how many subgroups you wish to
12 break it up into, one of the things we do as the
13 agency is we will do exploratory analyses certainly on
14 subgroups to look to see if there is anything
15 particularly odd about those subgroups.

16 Generally as we try to break up those
17 subgroups, and if we want to change an indication
18 based on some of those subgroup analyses or only
19 approve the device for some of the subgroups if we've
20 got an overall successful clinical trial, I think it's
21 problematic from a number of different perspectives,
22 maybe most of which I think we get different comments

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1 from the statistician certainly on both sides pro and
2 con.

3 I think it would be probably an injustice to
4 clinical trials if we started doing the exploratory
5 analyses on a regular basis and then trying to make
6 that the justification or the basis for approval of
7 the subgroups only if we had an overall successful
8 clinical trial.

9 I would love to get any comments from the panel
10 about if we did some of these exploratory analyses,
11 what is it that you think we ought to look for and
12 what do you think would be important then. I heard
13 certainly size and age but they are going to be
14 awfully small numbers.

15 DR. LASKEY: Jim, are you talking about both
16 issues or are you just talking about the fenestrated
17 Fontan right now?

18 MR. DILLARD: Well, I think it's come up in
19 both of them. I know it's been precipitated based on
20 the Fontan question because we're there but I think
21 you certainly had some comments on both of them
22 whether it be the ASD or the Fontan patient

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1 population.

2 DR. WITTES: Well, may I just ask you -- the
3 Fontan I couldn't address because I didn't see what
4 the comparison was. I didn't know how to even read
5 it. It seems to me there is a really big difference
6 between data dredging in the clinical trial and data
7 dredging in a poorly controlled study.

8 It seems to me in the first you don't want
9 to and in the second you really do because you don't
10 have -- I think there has to be aggressive analysis.
11 You want to make sure that there's nothing in the
12 artifacts of the control group that is making things
13 look better than they should.

14 It may be in this particular case that
15 because of the way of doing analysis you are, in fact,
16 being very conservative with respect to the surgery.
17 I don't think -- other statisticians may disagree with
18 me but I think one has to do exploratory analysis when
19 one doesn't have randomization.

20 DR. HOPKINS: I would agree. I don't know
21 if you had arrived when I pointed out I think that
22 some of the negative outcomes of the surgery group are

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1 arguable. Yet, I agree with Dr. Williams that the
2 device has been unfairly treated in terms of the 12-
3 month outcome.

4 I think there are, in fact, confounding
5 variables on both. When we get to the last question
6 I think there are going to be some recommendations
7 from this panel.

8 MR. DILLARD: Great. Thank you.

9 DR. TRACY: Question 3: A summary of the
10 physician training program has been provided in
11 Section 5 of the Panel Package. 3a. Please discuss
12 any improvements that could be made to the training
13 program.

14 Any comments from the panel?

15 DR. LASKEY: Case selection should be the
16 first 10 items in the training program.

17 DR. TRACY : Probably case selection and
18 being certain that the operator understands the
19 definition of endpoints and what they are looking for
20 as the outcome of the procedure as well as all the
21 technical aspects.

22 Any other comments on that?

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1 Question 3b. More than one device was
2 placed in 10 ASD patients. Please discuss training
3 issues regarding the placement of multiple devices in
4 a single patient.

5 I would think that would be not the first
6 thing that somebody would take on. That and the
7 larger sizes. You had mentioned that a proctor might
8 be present for something like that. I think those
9 very complex things would be best handled either with
10 a very experienced proctor or in the proctor's hands
11 while the operator is gaining experience.

12 Other comments?

13 Moving onto product labeling. Please
14 comment on the INDICATIONS FOR USE section as to
15 whether it identifies the appropriate patient
16 populations per treatment with this device. That is
17 in Section 3 if people want to flip to that.

18 We did have some comment early in the
19 discussion. That goes to contraindications.

20 DR. LASKEY: Well, we have some assurance
21 that first paragraph will be modified to eliminate the
22 paradoxical embolus or PFO population.

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1 DR. TRACY: The first paragraph in
2 INDICATIONS FOR USE?

3 DR. LASKEY: Yes, towards the end of that
4 paragraph. That's refers to that population. So
5 these patients must have hemodynamic evidence of
6 volume overload.

7 DR. TRACY: Okay. 4b.

8 DR. HOPKINS: Wait a minute. Can I ask a
9 question? Are you suggesting that a patient who has
10 had a paradoxical embolus through a small defect but
11 does not have RVH would not be a candidate for
12 closure? Did I hear you right or did I mishear you?

13 DR. LASKEY: No. I didn't say that at all
14 but I would answer your question there's nothing in
15 this Panel Pack that would support anything along
16 those lines either with regards to safety or efficacy.

17 DR. TRACY: So are you suggesting just a
18 revision in the wording to eliminate paradoxical
19 embolism? What exactly would you suggest there?

20 DR. LASKEY: Well, I -thought we had the
21 assurance of the company that they were going to
22 somehow modify this language so that it becomes clear

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1 that the INDICATIONS FOR USE of this device are
2 patients with a secundum ASD with evidence of right
3 ventricular volume overload and/or clinical symptoms.

4 DR. TRACY : So essentially the patient
5 population as reflected in the patient includes --

6 DR. LASKEY: Yes. I mean, that's both a
7 question as well as a reiteration of my understanding.
8 I personally think you ought to avoid the paradoxical
9 embolism population.

10 DR. TRACY: Dr. Williams.

11 DR. WILLIAMS: I would prefer that we say
12 that the indications in that case have not been
13 established rather than it's contraindication because
14 we don't know it's a contraindication. We just don't
15 have the data in that subset to support it.

16 DR. LASKEY: Correct.

17 DR. HOPKINS: I'd agree with that.

18 DR. TRACY : That could be specifically
19 mentioned that 'there are no data dealing with that
20 specific group.

21 DR. SKORTON: Another possibility would just
22 be to cross it off. Just take it off period because

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1 the first part of the indication, the first four or
2 five lines, is what the whole PMA is about. The part
3 after "or" there is no data on so I would be in favor
4 os just killing everything after the parenthesis ends.

5 DR. TRACY: I guess that's one option but
6 then that might leave the physician open to the
7 question should I or shouldn't I and what are the data
8 that support or were any of those patients included in
9 this study.

10 I think that in the indication I would agree
11 that just lopping it off after the or part would be
12 appropriate but in the specific description of the
13 patient population there should be a statement that no
14 patients were included who specifically had X, Y, Z.

15 DR. WHITE: I guess, Warren, just to go back
16 to your question, if you would send a patient for
17 surgical correction of an ASD because they had an
18 paradoxical embolus, and if the endpoint that you
19 wished to achieve is closure of the ASD, then I think
20 what the data says in front of us is that the ASD is
21 closed.

22 The question about whether or not you can

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1 stop further paradoxical embolus is what's not
2 addressed. I think we need to be careful about how
3 the clinician who is faced with this choice of closing
4 an ASD how do we help him? How do we help her or
5 guide that person's decision?

6 I mean, we're not looking at efficacy of
7 paradoxical embolus but it looks to me like this
8 device closes ASDs. So is it not appropriate then to
9 leave it in the language or some in some other way?

10 DR. TRACY: I think -- my instincts would
11 tell me to take it out since it's not included in the
12 population. Plus there are whole issues of anti-
13 coagulation that are not addressed if somebody has had
14 a paradoxical embolus. We don't have any data that
15 would say what to do with them with a device that may
16 take a year or two to completely close an ASD. We
17 don't know what to do with that patient given any of
18 the data that is here in this application. I think
19 rather than specifically mentioning them here where
20 they were not included in the initial data, I think we
21 should just take it off and then comment.

22 DR. WHITE: I think there were some patients

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1 included enrolled in the trial for this indication.

2 Was there not?

3 DR. TRACY: Three.

4 DR. WHITE: A few.

5 DR. HOPKINS: Why wouldn't you just say
6 indications have not been established for these two
7 specifically because I think it is going to come up.

8 DR. TRACY: It will. Somehow it has to be
9 addressed either 'here or following the table. But I
10 don't think we have established this as an indication
11 for this device based on three patients out of the
12 entire study.

13 DR. HOPKINS: So just say that.

14 DR. TRACY : It's not a contraindication
15 though either. You can work out where you want to put
16 that.

17 DR. SKORTON: Could we talk about this a
18 little tiny bit more? I think it's more than just a
19 PFO. The other condition in which a person could have
20 clinical symptoms of paradoxical embolus and the
21 minimal shunt is someone with early Eisenmenger's
22 physiology which also wasn't studied.

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1 Three patients out of this to me doesn't
2 make indication. I know you're not arguing for it to
3 say it is an indication. I think it's more than just
4 PFO. I think the indication that is strongly
5 supported is for the common garden variety secundum
6 ASD with a big shunt. I don't have any qualms about
7 that whatsoever.

8 PFOs and early Eisenmengers, I just don't
9 know what to make of it. I mean, you could say
10 indications haven't been established. That's fine
11 with me. I just wouldn't want to see that part left
12 in the indication section. That's my only point.

13 DR. TRACY: I think that's a good point. I
14 think that is pretty clear. It should be removed from
15 the indication.

16 Okay. 4b. Please comment on the
17 contraindication section as to whether there are
18 conditions under which the device should not be used
19 because the risk of use clearly outweighs any possible
20 benefit.

21 I think we were struggling to get a slightly
22 tighter definition of any patient whose condition

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1 would cause the patient to be a poor candidate for
2 cardiac catheterization. Maybe something slightly
3 more specific. Size is obviously important and that
4 is in your statement there. What other conditions
5 make the patient a poor candidate?

6 DR. SKORTON: I'm sorry if I missed it but
7 I thought in the discussion with the clinicians it was
8 suggested that transesophageal echo was a very
9 important part of this so there might be a
10 contraindication if the person is not a candidate for
11 transesophageal echo because of esophageal disease.

12 DR. WHITE: But if you can do intracardiac
13 echo I think you can compensate for that.

14 DR. TRACY: That's right. ICE might take
15 the place of TEE. Would you still feel that way if
16 you could gain the same data by ICE?

17 DR. SKORTON: I guess I would personally
18 feel okay about it but we're talking about labeling
19 now and not how I feel. I think that intracardiac
20 echo is not a universally applied technique. This is
21 going to be universally marketable if we take a
22 certain action.

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1 I'm okay with that if we put some words in
2 to that effect. Relative contraindication if you
3 can't do TEE. If ICE is available, one could consider
4 that. That means if there is a medical center where
5 they don't do ICE, which I'm betting is most med
6 centers, and the patient is not a candidate for
7 transesophageal echo, it might be a contraindication
8 or relative contraindication.

9 DR. LASKEY: Is Doppler a part of ICE now?

10 DR. HIJAZI: Yes.

11 DR. WILLIAMS: But it would make sense for
12 those patients who have esophageal abnormalities be
13 done in a high resource center that had the
14 availability of ICE.

15 DR. TRACY: Okay. So adding some wordage in
16 there about the use of TEE and relative or absolute
17 contraindications that might exist for that. And some
18 other plan would have to be in place to deal with
19 those patients.

20 Does anybody want to raise the nickel
21 allergy again? I don't know that that is a
22 contraindication or whether that should be somewhere

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1 mentioned maybe as a warning or something, but I think
2 it should be raised since nitinol may not mean
3 anything to other people and the patient has to
4 understand that there is nickel in there.

5 4c. then. Please comment on the
6 WARNING/PRECAUTIONS section as to whether it
7 adequately describes how the device should be used to
8 maximize benefits and minimize adverse events.

9 DR. WHITE: I think that under 4.2 we need
10 the specific wording about onsite surgery needs to be
11 listed.

12 DR. TRACY: 4.2, is physicians must be
13 prepared to deal with urgent situations which require
14 removal of embolus devices that result in critical
15 hemodynamic compromise. Yes, that should have some
16 wordage about having surgical backup available.

17 Any other comments on warnings/precautions?
18 I think there should be some wording in there about
19 this does not supplant the need for Coumadin if there
20 is another contraindication. That should be in there.
21 Or another indication for the use of Coumadin. That
22 should be in there somewhere.

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1 4d. Please comment on the OPERATOR'S
2 INSTRUCTIONS as to whether it adequately describes how
3 the device should be used to maximize benefits and
4 minimize adverse events.

5 DR. WHITE: Well, I read through these as an
6 operator who does these and they are tedious. I can't
7 criticize them. You do need the proctor with you.
8 These do not supplant the need for someone with
9 experience with the device. You can't open a package
10 and do this. I wouldn't criticize what they've
11 written. I think they've done about as well as you
12 can do but that just doesn't suffice alone.

13 DR. TRACY: This very clearly is a procedure
14 that needs proctoring.

15 4e. Please comment on the remainder of the
16 device labeling as to whether it adequately describes
17 how the device should be used to maximize benefits and
18 minimize adverse events.

19 If we are including the patient package, I
20 think there are some issues there. The principle is
21 good but the language needs tightening up and there's
22 some actual physiologic things that were incorrectly

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1 stated in there.

2 Any other comments?

3 DR. WITTES: Can I add something? I would
4 still like to add something to Table 5 because the
5 person who reads this is going to only notice the age
6 difference in one demographic table and this is going
7 to be hidden away. It seems to me that one could take
8 another panel of Table 5, just mimic it, and stick the
9 less than 20 or some age group that you really have
10 reasonable comparisons to.

11 DR. TRACY: That may come up again here in
12 our next question.

13 Post-market evaluation. The Panel Package
14 includes the available one-year data for the Amplatzer
15 device. Long-term adverse effects that may be
16 associated with device implantation include late
17 thrombosis, etc., and arrhythmias.

18 5. Based on the clinical data provided in
19 the PMA, do you believe that additional follow-up data
20 or post-market studies are necessary to evaluate the
21 chronic effects of the implantation of the Amplatzer
22 device. If so, how long should patients be followed

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1 and what endpoints and adverse events should be
2 measured?

3 I think there are a number of comments.
4 Maybe, Dr. Wittes, you can restate what you just said
5 in terms of looking --

6 DR. WITTES: But what I had to say was
7 actually different from this. This is more how should
8 you follow individual patients and what's happening in
9 long term.

10 Mine was just for Table 5 which shows the
11 overall results including the group. I'm still
12 worried about the group that doesn't have an age
13 comparison. All I want is to make sure that the
14 comparison is there.

15 DR. TRACY: Okay. Would it be worthwhile
16 asking for follow-up on -- I guess we can't ask for
17 more surgical data on older population. Is there
18 anything we can do to improve the patient population
19 that we're looking at here? Increase the population?
20 Do we need to?

21 DR. HOPKINS: I think this is an important
22 part of the panel's recommendations. I think that

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1 safety and relative efficacy has been shown here. I
2 think the concern is that at the two ends of the
3 spectrum we're still not absolutely sure about
4 efficacy.

5 Therefore, I think the panel should
6 recommend that there be designed at least a registry
7 type of approach. If not looking at every patient who
8 receives one of these devices, at least looking at
9 those subgroup of patients who fall into those two
10 areas, the large defect and the younger patients and
11 the long-term residual shunt patients, as to what the
12 long-term efficacy of this device is because that's
13 fundamentally the question here.

14 The fact is it has been shown they are safe,
15 that you can stick these things in and not hurt a lot
16 of people. The question is really should this be the
17 procedure of choice.

18 Unfortunately it is a long-term question but
19 it is a question that has not been answered yet. I
20 would recommend to the panel that we seriously
21 consider requiring at the minimum a registry type of
22 approach to asking that question over the relative

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1 long term about those two groups.

2 DR. TRACY: I guess the question is how long
3 is long?

4 DR. HOPKINS: Well, when the ASD is
5 completely closed at age three, the patient has a
6 normal heart. It's being proposed that closing the
7 ASD returns the patient to a normal life expectancy.

8 You could argue they should be followed for
9 life. I'm not necessarily proposing that, but I am
10 proposing that I think that the large defects that are
11 residual, that probably somewhere in the range of five
12 years for the younger patients and somewhere in the
13 range of five to 10 years, that a registry data and
14 follow-up should be required so we can answer that
15 question.

16 Dr. Williams.

17 DR. WILLIAMS: I agree with what Dr. Hopkins
18 has said in terms of efficacy. I also maybe raise the
19 question reacted to by my other colleagues in terms of
20 safety for the largest devices which is a rather
21 inflexible structure.

22 There have been some very sparse and non-

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1 peer reviewed abstracts that have suggested for very
2 large atrial devices there have been some interference
3 with shortening of the long axis of the ventricle in
4 systole which may have some affects on ventricular
5 function.

6 You would also wonder because if its
7 location the very largest defects whether there could
8 be some distortion of either the AV valve embolism and
9 the function in that area.

10 Or perhaps some distortion of the aortic
11 root with aortic insufficiency and if there shouldn't
12 be some post-market surveillance with the very largest
13 defects for both AV valve and posterior semilunar
14 valve insufficiency as well as ventricular function.
15 I really don't know how long. Maybe 10 years or so.
16 Maybe Dr. Zahka has an idea about this.

17 DR. ZAHKA: I've actually struggled a bit
18 eve this morning back and forth about what I think
19 should be the long-term follow-up for these devices.
20 Ideally it would be wonderful to have a 10-year
21 follow-up, five-year follow-up where we had some kind
22 of control group as well.

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1 Since I think there are going to be a
2 proportion of surgical patients who have ASD closure
3 who are going to have arrhythmias, I don't know what
4 I would do as a panel member 10 years from now,
5 hopefully in my retirement, where we sat here and
6 said, "Oh, my God. There are arrhythmias 10 years
7 out, " because we don't have the control group.

8 Yet, I would wonder if we're going to start
9 seeing aortic regurgitation or AV valve regurgitation.
10 My sense is we're going to see that by a year. Are
11 we, in fact, accomplishing anything by the five or 10-
12 year follow-up? I assume there is going to be some
13 kind of like a pacemaker registry at the company of
14 these patients.

15 If the case reports and the medical
16 literature do begin to suggest that there is something
17 going on, I would hope that we would then be able to
18 in a very systematic way recall patients for a
19 prospective evaluation at that time when we know what
20 we're looking for and be able to collect the data in
21 a very logical and effective way.

22 DR. WILLIAMS: I'm persuaded by the

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1 arguments of my colleague but because of the
2 stockmarket I will probably still be working.

3 DR. SKORTON: I have a compromise to
4 suggest. I'm still a little bit uncomfortable with
5 some of the subgroups, and yet I think 10 years is a
6 very long time. A lot of things change in 10 years.
7 I better be retired in 10 years.

8 Also, we need to help the FDA and the
9 sponsor by giving some discrete endpoints and things
10 to look at. Just as a strawman, I'm going to suggest
11 that we recommend a five-year post-market surveillance
12 of the groups implanted with devices larger than 28,
13 smaller than 10, those with residual shunts, and those
14 implanted under age 10 years.

15 And at the endpoints we look for our just
16 thrombi and endocarditis and general cardiac function
17 on echo, that we don't do the arrhythmias because they
18 are very hard to interpret.

19 Those might not be the exact right ones but
20 something like that where we give them a discrete
21 number of things to look for and those will be the
22 things based on which the FDA would call us back to

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1 talk about them later.

2 DR. WHITE: As an adult cardiologist could
3 I suggest that we might include the paradoxical emboli
4 patients and follow them as a post-marketing
5 surveillance. This is a small population of patients
6 that are not likely to be prospectively studied. IT's
7 not likely that we are going to see data on ASDs with
8 paradoxical emboli to above.

9 This is an opportunity to collect that data
10 in a post-market environment which would be fairly
11 disciplined. The device is performed in a small
12 population of these patients.

13 I understand the difficulty in feeling
14 comfortable about the prevention of the paradoxical
15 emboli but I'm not uncomfortable about the ability to
16 close the ASD. That's why I feel like the glass is
17 more half empty than half full about this.

18 DR. TRACY : That would suggest that the
19 structure or the registry would include data for the
20 clinical indication and that would be one of the
21 questions that would be asked.

22 Mr. Morton.

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1 MR. MORTON: Could I make a couple of
2 comments? The agency recently sponsored a workshop on
3 post-market studies and surveillance and it concerns
4 me that we're using the term registry because registry
5 I know from experience with other devices they are
6 awkward.

7 They are difficult to deal with. You get a
8 lot of information that is not necessarily the
9 information that you want. It's not necessarily
10 information that is going to answer the questions that
11 you're asking here. I would suggest that really
12 you're not looking at a registry. In your
13 recommendation is was not a registry. It was not.

14 I would also ask that we ask the sponsor
15 actually is there information in both their cohort and
16 in their continued access study. That seemed to be
17 quite a few patients. Could there be data there that
18 is going to answer these questions without moving into
19 a true post-market study which would be extremely
20 difficult to manage?

21 DR. TRACY: Those are very good points.

22 DR. HOPKINS: I stand corrected on the

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1 registry. I actually think, Dr. Skorton, your
2 recommendation is very close to being on point. At
3 the five-year you might identify one or two issues
4 that need to be followed another five years.

5 I think we have evidence that certainly with
6 valve patients we've significantly altered their
7 national history and they really need to be followed
8 for a long time before we really sort out what the
9 best options are. I think the case is going to be
10 similar here. I-think it's a good on-point.

11 DR. TRACY: Dr. Zahka.

12 DR. ZAHKA: I was just wondering if there
13 was a one in a thousand or one in 500 risk of a late
14 thrombus and/or endocarditis, would that change our
15 recommendations today if we knew that information
16 today? Or one in a hundred with endocarditis or late
17 thrombus.

18 DR. SKORTON: That's really a tough question
19 to answer but I think the answer is it's not just a
20 matter of what-we would do. It's a matter of what the
21 materials in the device might change. The way it's
22 put in might change. The anti-coagulation you give

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1 might change. There are so many moving parts.

2 Plus the companies aren't going to stand
3 still while this is going on. They are going to
4 develop better materials, different wires, different
5 polyester. I think it's a moving target.

6 I think that your point is well taken about
7 not having a widely open registry. I think a tightly
8 focused series of studies and follow-up will make us
9 feel better and will help move the field along.

10 It won't be too intrusive on the company's
11 time or on the clinical' investigators. I think we
12 make our best guess now as to the things we want to
13 follow and hopefully we don't find anything.

14 DR. TRACY : That covers the written
15 questions by the FDA. Does the FDA have any
16 additional questions or comments at this time?

17 MR. DILLARD: No. That's it from FDA.
18 Thank you.

19 'DR. TRACY: Okay. Does the sponsor have any
20 additional comments? Okay. Then at this point I
21 would like to give time for an open public hearing.
22 Is there anyone in the audience who wishes to address

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1 the panel on this topic before we take our vote?

2 Okay. Then we will close the open public hearing.

3 MS. MOYNAHAN like to read through the
4 options for the vote.

5 The Medical Device Amendments to the Federal
6 Food, Drug, and Cosmetic Act as amended by the Safe
7 Medical Devices Act of 1990 allows the FDA to obtain
8 a recommendation from an expert advisory panel on
9 designated medical device premarket approval
10 applications that are filed with the agency.

11 The PMA must stand on its own merits and
12 your recommendation must be supported by the safety
13 and effectiveness data in the application or by
14 applicable publicly available information.

15 Safety is defined in the Act as reasonable
16 assurance based on valid scientific evidence that the
17 probable benefits to health under conditions on
18 intended use outweigh any probable risks.

19 Effectiveness is defined as reasonable
20 assurance that in a significant portion of the
21 population the use of the device for its intended use
22 as conditions of use when labeled will provide

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1 clinically significant results.

2 Your recommendation options for the vote are
3 as' follows:

4 (1) Approval if there are no conditions
5 attached.

6 (2) Approvable with conditions. The panel
7 may recommend that the PMA be found approvable subject
8 to specified conditions such as physician or patient
9 education, labeling changes, or further analysis of
10 existing data. Prior to voting all of the conditions
11 should be discussed by the panel.

12 (3) Not approvable. The panel may recommend
13 that the PMA is not approvable if the data do not
14 provide a reasonable assurance that the device is safe
15 or if a reasonable assurance has not been given that
16 the device is effective under the conditions of use
17 prescribed, recommended, or suggested in the proposed
18 labeling.

19 Following the voting the chair will ask each
20 panel member to present a brief statement outlining
21 the reasons for their vote.

22 DR. TRACY: I'd like to ask for a motion at

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1 this time regarding the approvability of this device.

2 Dr. Williams, as the lead reviewer, you are
3 certainly welcome to make that motion.

4 DR. WILLIAMS: I move approval of the use of
5 the Amplatzer Septal Occluder device in patients with
6 ASD in the secundum position and patients requiring
7 closure of the fenestration following a fenestrated
8 Fontan procedure.

9 DR. TRACY : Are there any conditions you
10 would like to place on the approval? Does any panel
11 member feel that any conditions should be placed on
12 this?

13 DR. LASKEY: I do. I think we've discussed
14 that. I think the conditions to be applied pertain to
15 post-marketing surveillance of some high risks of
16 groups which I guess we can discuss openly here.

17 She recommended approval without conditions.
18 There is no second part so the first thing is do we
19 have a motion.

20 MS. MOYNAHAN: Is that what you were
21 suggesting. as approval without any conditions
22 attached?

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1 DR. WILLIAMS: No. Actually I had thought
2 we could then say if there was an amendment with
3 conditions. I move for approval with conditions of
4 post-market surveillance.

5 DR. TRACY: Then if we could delineate what
6 those conditions are, we'll discuss and vote on each
7 of the conditions before we vote on the approval. So
8 we have one condition is that there must be some type
9 of surveillance put in place to look at the patients
10 at the extremes, the large size and the younger ages,
11 and following those devices over time the exact
12 mechanism of that surveillance is not determined.
13 There may be data available within that total
14 population which can give some of that information but
15 there likely will need to be some ongoing surveillance
16 of the device. Does that state what the panel
17 intends?

18 MS. MOYNAHAN: I think we should vote on
19 each one separately, each condition.

20 DR. TRACY: Okay. All those in favor --

21 DR. HOPKINS: Point of process. How
22 specific do you want us to be on these conditions?

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1 MS. MOYNAHAN: You can refer to your earlier
2 discussion and say as we discussed earlier. For
3 example, with labeling or the post-market
4 surveillance.

5 DR. HOPKINS: Then I would like your motion
6 really, or the amendment to your motion, that it be
7 really to suggest Dr. Skorton's recommendation for the
8 post-market surveillance studies.

9 MR. DILLARD: Jim Dillard. Just a real
10 quick recap of process. I think you've got a motion
11 on the table for approvable with conditions that was
12 seconded by Dr. Skorton. Now we're at condition No.
13 1 which is a post-market surveillance effort.

14 I think you can have any discussion that you
15 want associated with that particular condition and
16 then you can go ahead and vote on each particular
17 condition and then at the end on the entire motion if
18 that helps.

19 DR. TRACY : so the condition -- the
20 condition is that we have post-market surveillance.
21 Referring back to the earlier conversations, Dr.
22 Skorton laid out some pretty, I think, reasonable and

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